

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Certification under 37 CFR §1.10 (if applicable)

EL 889 536 956 US

Express Mail Label Number

December 12, 2001

Date of Deposit

I hereby certify that this Transmittal Letter, enclosed application and any other documents referred to as enclosed herein, are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Jennifer L. Mahoney

(Print Name of Person Mailing Application)

(Signature of Person Mailing Application)

Transmittal of Utility Patent Application
for Filing Under 37 CFR §1.53(b)

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is a utility patent application by inventors: Mai Huong Dang and Phillip Chiu, and entitled:

SURFACE COATING METHOD AND COATED DEVICE

1. Enclosed are:

- ☒ This Transmittal letter.
- ☒ One stamped, self-addressed postcard for PTO date stamp.
- ☒ Certificate of Express Mail.
- ☒ One utility patent application containing text pages 1-28 and
- ☒ 5 Sheets of drawings.
- ☒ Declaration of inventorship (unsigned)
- ☒ Sequence listing printout, diskette, and matching declaration.

2. U.S. Priority

- ☐ This application claims the benefit of U.S. Application No. filed , which is.
- ☒ Conditional Petition for Extension of Time: An Extension of Time is requested to provide for timely filing *if* required to establish copendency with the parent after all papers filed herewith have been considered.

3. Foreign Priority

- ☐ Priority of Application No. filed in on is claimed under 35 USC §119.
- ☐ A certified copy of this priority document is enclosed.

4. Fees

For:	(Col. 1) No. Filed	(Col. 2) No. Extra	Small Entity			Other Than a Small Entity	
			Rate	Fee		Rate	Fee
Basic Fee				\$370.00	or		\$740.00
Total Claims	32 - 20	12	x \$9 =	\$108.00	or	x \$ 18 =	\$
Independent Claims	2 - 3	0	x \$42 =	\$	or	x \$ 84 =	\$
<input type="checkbox"/> Multiple Dependent Claim Presented			+ \$140 =	\$	or	+ \$280 =	\$
*If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			TOTAL	\$478.00	Or	TOTAL	\$

- ☒ Applicant claims small entity status. See 37 CFR §1.27
- ☒ Please charge the filing fee (\$478.00) and any other fees necessary for this filing to Deposit Account 50-0665.
- ☒ The Commissioner is hereby authorized to charge fees under 37 CFR §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account 50-0665.

Respectfully submitted,



Larry W. Thrower
Registration No. 47,994

Date: 12-12-01**Correspondence Address:**

Customer No. 22918

Phone: 650 838-4300

I hereby certify that this correspondence and any other documents referred to as enclosed herein, are being deposited in an envelope with the U.S. Postal Service "Express Mail Post Office to Addressee" service, Label Number EL 889 536 956 US, under 37 CFR \$1.10 on the date below and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.:

Date:

December 12, 2001

By:

Jennifer Mahony

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Dang and Chiu

EXAMINER: Unknown

SERIAL No.: Not Yet Assigned

ART UNIT: Unknown

FILED: Filed Herewith

FOR: **SURFACE COATING METHOD AND COATED
DEVICE**

Declaration Under 37 CFR \$1.821

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

I, Larry W. Thrower, declare and affirm as follows:

1. I am an agent for the applicant.
2. The floppy disc which accompanies this Declaration contains the required sequence listing.
3. The sequence listing recorded on said disc matches the hard copy of the sequence listing accompanying this Declaration.
4. The present submission contains no new matter relative to the application as originally filed.

Respectfully submitted,

Larry W. Thrower

Larry W. Thrower

Registration No. 47,994

Date:

12-12-01

Correspondence Address:

Customer No. 22918